#### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

PEVC	APPLICANT: NOVOSEL et al.		ATTY DOCKET NO: RRE	-P <sub>7</sub> -00-001		
" me en	SERIAL NO.: 09/592,461		GROUP ART UNIT:	10		,
16 Day	FILING DATE: June 9, 2000	)	EXAMINER: Unassig		0	<i>7</i> 0
THE PROPERTY.	CONTROL"				∑ E DI∰AL	EOMMAND
	INFORMATION	DISCLOSURE STATEMENT TE	RANSMITTAL LETTER	É	20	THIS IS
MISSIONER FOR PARTON, D.C. 20231				R001	8	D
						<i>G</i> 9
ion Disclosure S	tatement is submitted.					
in 37 CFR §1.491	in an international appl	ication; or (3) before	2) of date of entry of the mailing date of a	the national a first Office	stage Action	as set forth on the
After the mailir mailing of a not	ng date of a first Office tice of allowance under §1	Action but before (1) ma .311, whichever occurs	ailing of a final acti first.	ion under 37 C	FR §1.1	13; or (2)
Payment for the	fee set forth in 37 CFR §	1.17(p) accompanies this	s submission; or			
The certification	on specified in 37 CFR §1.	97(e) is made below. (	No fee is required.)			
whichever occurs	s first, but before paymer	t of the issue. The ce	n Disclosure Statemen	t accompanying		
- 1	amont use eited in a commi	inication from a foreign	ratellic office ill a c	entified in th ounterpart for	ne Info reign ap	rmation pplication not
Disclosure Stat	ement was cited in a commu	unication from a foreign er making reasonable inc	uiry, was known to an	contained in ounterpart for y individual o	the In reign a designa	formation pplication, o ted in 37 CFR
A check in the	amount of \$ to cover a	ny required fee is enclo	osed.			
The Commissione Account No. 50-	er is hereby authorized to 0595. A duplicate of t	charge any additional this sheet is enclose	fees which may be request for this purpose	ired, or cred	-	overpayment t
	Submitted herewi on Disclosure S Within 3 months in 37 CFR §1.491 merits, whicheve After the mailing of a not payment for the The certification whichever occurrine Commissione Payment for the The undersigned Disclosure Stat more than three The undersigned Disclosure Stat to the knowledg §1.56(c) more to the Commissione A check in the Commissione Stat to the knowledg §1.56(c) more to the Commissione Stat to the knowledg §1.56(c) more to the Commissione Stat to the knowledg §1.56(c) more to the Commissione Stat to the Commissione Stat to the Commissione Stat State S	SERIAL NO.: 09/592,461  FILING DATE: June 9, 2000  TITLE: "SOUND RECORDING CONTROL"  INFORMATION  MISSIONER FOR PATENTS  TON, D.C. 20231  Submitted herewith is an Information Discion Disclosure Statement is submitted.  Within 3 months (1) of filing date of a nation of the filing date of a first Office of the mailing of a notice of allowance under §1  After the mailing date of a first Office of the mailing of a notice of allowance under §1  Payment for the fee set forth in 37 CFR §1.  After the mailing of (1) a final action whichever occurs first, but before payment the Commissioner hereby is petitioned to Payment for the Petition fee set forth in the undersigned counsel for applicant(s)  Disclosure Statement was cited in a communion than three months prior to the filing the undersigned counsel for applicant(s)  Disclosure Statement was cited in a communion than three months prior to the filing the undersigned counsel for applicant(s)  Disclosure Statement was cited in a communion to the knowledge of the undersigned, after §1.56(c) more than three months prior to  A check in the amount of \$ to cover and the commissioner is hereby authorized to	FILING DATE: June 9, 2000  TITLE: "SOUND RECORDING AND REPRODUCTION SYSTEM CONTROL"  INFORMATION DISCLOSURE STATEMENT IT  MISSIONER FOR PATENTS  ITON, D.C. 20231  Submitted herewith is an Information Disclosure Statement for cor on Disclosure Statement is submitted.  Within 3 months (1) of filing date of a national application; (7) in 37 CFR §1.491 in an international application; or (3) before merits, whichever occurs last. (No fee is required.)  After the mailing date of a first Office Action but before (1) mailing of a notice of allowance under §1.311, whichever occurs in the certification specified in 37 CFR §1.17(p) accompanies this in the certification specified in 37 CFR §1.97(e) is made below. (After the mailing of (1) a final action under 37 CFR §1.113; or whichever occurs first, but before payment of the issue. The centre of the Commissioner hereby is petitioned to consider the Information Payment for the Petition fee set forth in 37 CFR §1.17(i)(1) accompanies that the months prior to the filing of this Information Disclosure Statement was cited in a communication from a foreign more than three months prior to the filing of this Information Disclosure Statement was cited in a communication from a foreign more than three months prior to the filing of the state of the knowledge of the undersigned, after making reasonable inc §1.56(c) more than three months prior to the filing of the state of the commissioner is hereby authorized to charge any additional forms and the commissioner is hereby authorized to charge any additional forms and the commissioner is hereby authorized to charge any additional forms and the commissioner is hereby authorized to charge any additional forms and the commissioner is hereby authorized to charge any additional forms and the commissioner is hereby authorized to charge any additional forms and the commissioner is hereby authorized to charge any additional forms and the commissioner is hereby authorized to charge any additional forms and the commissioner is hereby authorized	SERIAL NO.: 09/592,461  SERIAL NO.: 09/592,461  FILING DATE: June 9, 2000  EXAMINER: Unassig  TITLE: "SOUND RECORDING AND REPRODUCTION SYSTEM FOR MODEL TRAIN USIN CONTROL"  INFORMATION DISCLOSURE STATEMENT TRANSMITTAL LETTER  MISSIONER FOR PATENTS TION, D.C. 20231  Submitted herewith is an Information Disclosure Statement for consideration in the abo on Disclosure Statement is submitted.  Within 3 months (1) of filing date of a national application; (2) of date of entry of in 37 CFR \$1.491 in an international application; or (3) before the mailing date of a merits, whichever occurs last. (No fee is required.)  After the mailing date of a first Office Action but before (1) mailing of a final action mailing of a notice of allowance under \$1.311, whichever occurs first.  Payment for the fee set forth in 37 CFR \$1.17(p) accompanies this submission; or The certification specified in 37 CFR \$1.97(e) is made below. (No fee is required.)  After the mailing of (1) a final action under 37 CFR \$1.113; or (2) a notice of allowhichever occurs first, but before payment of the issue. The certification specified The Commissioner hereby is petitioned to consider the Information Disclosure Statemen The undersigned counsel for applicant(s) hereby certifies each item of information of Disclosure Statement was cited in a communication from a foreign Patent Office in a c more than three months prior to the filing of this Information Disclosure Statement.  The undersigned counsel for applicant(s) hereby certifies that no item of information Disclosure Statement was cited in a communication from a foreign Patent Office in a c more than three months prior to the filing of this Information Disclosure Statement.  A check in the amount of \$\frac{1}{2}\$ to cover any required fee is enclosed.	SERIAL NO.: 09/592,461  GROUP ART UNIT:  FILING DATE: June 9, 2000  EXAMINER: Unassigned  TITLE: "SOUND RECORDING AND REPRODUCTION SYSTEM FOR MODEL TRAIN USING INTEGRATED CONTROL"  INFORMATION DISCLOSURE STATEMENT TRANSMITTAL LETTER  MISSIONER FOR PATENTS  STON, D.C. 20231  Submitted herewith is an Information Disclosure Statement for consideration in the above-identified on Disclosure Statement is submitted.  Within 3 months (1) of filling date of a national application; or (3) before the mailing date of a first Office merits, whichever occurs last. (No fee is required.)  After the mailing date of a first Office Action but before (1) mailing of a final action under 37 cm mailing of a notice of allowance under §1.311, whichever occurs first.  Payment for the fee set forth in 37 CFR §1.17(p) accompanies this submission; or  The certification specified in 37 CFR §1.97(e) is made below. (No fee is required.)  After the mailing of (1) a final action under 37 CFR §1.113; or (2) a notice of allowance under 3 whichever occurs first, but before payment of the issue. The certification specified in 37 CFR §1. The Commissioner hereby is petitioned to consider the Information Disclosure Statement accompanying Payment for the Petition fee set forth in 37 CFR §1.17(i)(1) accompanies this submission.  The undersigned counsel for applicant(s) hereby certifies each item of information identified in the Disclosure Statement was cited in a communication from a foreign Patent Office in a counterpart for more than three months prior to the filing of this Information Disclosure Statement was cited in a communication from a foreign Patent Office in a counterpart for to the knowledge of the undersigned, after making reasonable inquiry, was known to any individual to the Knowledge of the undersigned, after making reasonable inquiry, was known to any individual to the formation provided to charge any additional fees which may be required, or cred	SERIAL NO.: 09/592,461  SERIAL NO.: 09/592,461  SERIAL NO.: 09/592,461  EXAMINER: Unassigned  TITLE: "SOUND RECORDING AND REPRODUCTION SYSTEM FOR MODEL TRAIN USING INTEGRATED DIGITAL CONTROL"  INFORMATION DISCLOSURE STATEMENT TRANSMITTAL LETTER  MISSIONER FOR PATENTS  TION, D.C. 20251  Submitted herewith is an Information Disclosure Statement for consideration in the above-identified application, Disclosure Statement is submitted.  Within 3 months (1) of filing date of a national application; (2) of date of entry of the national stage in 37 CFR §1.491 in an international application; or (3) before the mailing date of a first Office Action merits, whichever occurs last. (No fee is required.)  After the mailing date of a first Office Action but before (1) mailing of a final action under 37 CFR §1.7  The certification specified in 37 CFR §1.97(e) is made below. (No fee is required.)  After the mailing of (1) a final action under 37 CFR §1.17(p) accompanies this submission; or The certification specified in 37 CFR §1.97(e) is made below. (No fee is required.)  After the mailing of (1) a final action under 37 CFR §1.17(p) accompanies this submission specified in 37 CFR §1.97(e) is made below. (No fee is required.)  After the mailing of (1) a final action under 37 CFR §1.17(p) accompanies this submission.  The certification specified in 37 CFR §1.97(e) is made below. (No fee is required.)  After the mailing of (1) a final action under 37 CFR §1.17(r) (1) accompanies this submission.  The undersigned counsel for applicant(s) hereby certifies each item of information identified in the Info Disclosure Statement was cited in a communication from a foreign Patent Office in a counterpart foreign a more than three months prior to the filing of this Information Disclosure Statement.  The undersigned counsel for applicant(s) hereby certifies that no item of information contained in the Info to the Knowledge of the undersigned, after making reasonable inquiry, was known to any individual designs §1.56(c) more than three months

When phoning re this application, please call (773) 772-6009

BRIAN M. MATTSON
Patents + TMS, P.C.
1914 North Milwaukee Avenue
Chicago, Illinois 60647
Telephone: (773) 772-6009

I hereby certify that this correspondence is being deposited with the United States Postal Service as First Class Mail in an envelope addressed to the Asst. Commissioner for Patents Washington D.C. 20231 on OCTOBER 4, 2000.

STAN H MATTER

(Reg. No. 35,018)

SKIAN M. MALISON

•

# U.S. PATENT APPLICATION SERIAL NO. 09/592,461

**APPLICANT:** 

NOVOSEL, ET AL.

TITLE:

"SOUND RECORDING AND REPRODUCTION SYSTEM FOR MODEL

TRAIN USING INTEGRATED DIGITAL CONTROL"

**OUR CASE NO:** 

RRE-P-00-001

**EXAMINER/ART UNIT:** 

Unassigned

INFORMATION DISCLOSURE STATEMENT -- COPIES OF 236 REFEREN

7

CA

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANTS:

NOVOSEL et

ATTY. DOCKET NO.: RRE-P-00-001

SERIAL NO.:

09/592,4

GROUP ART UNIT:

FILING DATE:

June 9, 2000

EXAMINER: Unassigned

INVENTION:

"SOUND RECORDING AND REPRODUCTION SYSTEM FOR MODEL

TRAIN USING INTEGRATED DIGITAL COMMAND CONTRO

Asst. Commissioner for Patents Washington, D.C. 20231

SIR:

Ř

In accordance with the provisions of 37 CFR §1.56, Applicants request that citation and examination of the references identified on the attached PTO-1449 form, copies of which of which are enclosed herewith, be made during the course of examination of the above-mentioned application for U.S. patent. No fee is required for submission of this statement. If, however, any additional fees are due and owing in view of this communication, please charge Deposit Account No. 50-0595. A duplicate of this sheet is included for this purpose.

### I. SUBMITTED REFERENCES

## A. OTHER REFERENCES

"Hear the Telegrapher," <u>Model Railroader</u>, p. 37, August 1953.

"Railroad Sounds," <u>Railroad Model Craftsman</u>, pp. 22-23,
September 1958.

Chaudiere, "Authentic Sound in Locomotives," <u>Model Railroader</u>, pp. 33-34, May 1959.

Baker, "Diesel Horn Works on a Dry Cell," <u>Model Railroader</u>, pp.38-40, April 1964.

Sherry, "Railroad Sound Recordings," Railroad Model Craftsman, pp.26-27, March 1966.

Chaudiere, "Whistle, Bell, Steam, and Exhaust Sounds," <u>Model</u>
Railroader, pp. 44-48, May 1966.

Vogt, "The Monster Diesel Horn," <u>Model Railroader</u>, pp. 50-51, December 1966.

Sohl, "Simple Soundmaker for Model Railroaders," <u>Model</u> Railroader, pp. 56, 58, April 1967.

Grande, "Magic Diesel Horn," <u>Model Railroader</u>, pp. 56-58, April 1969.

Armstrong, "Realistic Sound And Scale, Part 1," <u>Model</u>
Railroader, pp. 59-62, July 1969.

Armstrong, "Realistic Sound And Scale, Part 2," <u>Model</u>
Railroader, pp.61-66, August 1969.

Cook, "Tender Speaker for Noise Effects," <u>Model Railroader</u>, pp.62-63, January 1971.

"Sounds for Geared Locomotives," <u>Railroad Model Craftsman</u>, pp.33-35, January 1972.

Larson, "The Digitrack 1600," <u>Model Railroader</u>, pp. 37-40, August 1972.

Brewster, "A Spruced-Up Heisler," <u>Model Railroader</u>, pp. 66-73, February 1973.

Stevens, Correction to Schematic Drawing in November 1981 Symposium to the Diesel Engine Sound-Effect Generator, <u>Model</u> Railroader, October 1973.

Langley et al., "Top Performance and Sound From a Shay," <u>Model</u>

<u>Railroader</u>, pp. 46-49, November 1973.

Chaudiere, "Echoes Add to Sound Effects," <u>Model Railroader</u>, pp. 42-43, December 1973.

Glaab, "Add a Tape Recorder Input to your PFM Sound System,"

Railroad Model Craftsman, pp. 58-59, April 1976.

"The Case for Radio Control," <u>Railroad Model Craftsman</u>, pp. 30-33, May 1976.

Hediger, "Ho Diesel Sound System," <u>Model Railroader</u>, pp. 35-36, May 1977.

Thorne, "Action Crossing: Lights Flash, a Diesel Horn Blows, and Bells Ring," Model Railroader, pp. 79-82, July 1977.

Lambert Associates, "Ho Sound System," <u>Model Railroader</u>, pp. 33-34, August 1977.

Miller, "Simplified Steam Exhaust System," Railroad Model Craftsman, pp. 74-75, October 1977.

North, "Upgrading Your Sound System, Part 1," <u>Gazette</u>, pp. 48-50, January/February 1978.

North, "Upgrading Your Sound System, Part 2," <u>Gazette</u>, pp. 47-49, May/June 1978.

EuDaly, "Supersonic-Frequency A.C. to Power Lights and Sound Systems," Railroad Model Craftsman, pp. 44-46, August 1978.

North, "Upgrading Your Sound System, Part 3," Railroad Model Craftsman, pp. 27-33, November/December 1978.

Rakiecz, Jr., "A Sound (?) Idea," <u>Model Railroader</u>, pp.103-106, April 1979.

Dressler, "Whistle Handle for the Fyffe Electronics Steam Sound Generator," Model Railroader, June 1979.

North, "An Auxillary 'Sound' Car," <u>Gazette</u>, pp.32-33, September/October 1979.

Sperandeo, "Commercial Command Control Systems," <u>Model</u>
Railroader, p.80-81, November 1979.

Cassler, "Action for Diesels," <u>Railroad Model Craftsman</u>, pp.64-68, November 1979.

Findley, "Improved Sound-System Keying," <u>Railroad Model</u>
<u>Craftsman</u>, pp.104-105, November 1979.

Gutierrez, "Introducing the CTC-16: A 16-Channel Command Control System You Can Build, Part 1" Model Railroader, pp.64-67, December 1979.

Gutierrez, "Introducing the CTC-16: A 16-Channel Command Control System You Can Build, Part 2" Model Railroader, pp.86-93, January 1980.

Gutierrez, "Introducing the CTC-16: A 16-Channel Command Control System You Can Build, Part 3" Model Railroader, pp.89-92, February 1980.

Gutierrez, "Introducing the CTC-16: A 16-Channel Command Control System You Can Build, Part 4" Model Railroader, pp.89-93, March 1980.

Gutierrez, "Introducing the CTC-16: A 16-Channel Command Control System You Can Build, Part 5" Model Railroader, pp.71-77, April 1980.

Sperandeo, "Command Control Comparison," <u>Model Railroader</u>, pp. 90-95, April 1980.

Gutierrez et al., "The CTC-16: Epilogue," <u>Model Railroader</u>, pp. 132-136, December 1980.

Hansen, "Symposium on Electronics," <u>Model Railroader</u>, pp. 74-75, July 1981.

Smallshaw, "Upgrading a Varney Dockside," <u>Model Railroader</u>, pp. 70-71, February 1981.

Hansen, "Symposium on Electronics," <u>Model Railroader</u>, pp. 87-90, May 1981.

Taylor et al., "Installing CTC-16 Receivers in powered Diesel Units," Model Railroader, pp. 72-77, September 1981.

Keller Engineering, "Command Control/Sound System," <u>Model</u>
Railroader, pp. 48-54, December 1981.

"Power Pack Performance Tests: Five Carrier/Command-Control Systems," Model Railroader, pp. 41,43,45,47,49 and 51, April 1982.

Hansen, "Symposium on Electronics," <u>Model Railroader</u>, pp. 82-83, May 1982.

Hansen, "Symposium on Electronics," <u>Model Railroader</u>, pp 86-87, September 1982.

Keller Engineering, Keller Steam Kit, 1983.

Sperandeo, "Wiring the Washita & Santa Fe," <u>Model Railroader</u>, pp. 90-95, September 1982.

"Command Control System," <u>Model Railroader</u>, pp.33-35, September 1982.

Johannsen, "Onboard," <u>Gazette</u>, pp. 66-67, September/October 1982.

Joesten, "Bilingual Locomotive," <u>Railroad Model Craftsman</u>, pp. 98-99, January 1983.

Hansen, "Symposium on Electronics," <u>Model Railroader</u>, pp. 116-117, January 1983. Chubb, "Command Control Comes to the Sunset Valley," <u>Model</u>
Railroader, pp. 103-109, January 1983.

Konrad, "Dynatrol," Gazette, pp. 56-58, March/April 1983.

Kosinski, "Carrier Control By-Pass Switch," <u>Railroad Model</u>
<u>Craftsman</u>, March 1983.

Hansen, "Symposium on Electronics," <u>Model Railroader</u>, pp. 80-82, May 1983.

Power Systems Inc., "Dynatrol Low-Profile Receiver," <u>Model</u>
<u>Railroader</u>, May 1983.

Kelly, "Zero 1 for N Scale," <u>Model Railroader</u>, pp. 62-65, July 1983.

North, "Installing Sound in the Grandt Porter," <u>Gazette</u>, pp. 53-55, July/August 1983.

Hansen, "Symposium on Electronics," <u>Model Railroader</u>, August 1983.

Hansen, "Symposium on Electronics," <u>Model Railroader</u>, pp. 16-119, November 1983.

Keller Engineering Instruction Manual, "Onboard Locomotive Sound & Control System," pp.1-89, January 1984.

Keller Engineering, "Control Keypad," <u>Model Railroader</u>, pp. 42c-42d, February 1984.

Hansen, "Symposium on Electronics," <u>Model Railroader</u>, pp. 96-98, February 1984.

At the Throttle, "Command Control: An Update," <u>Model</u>
Railroader, p.57, March 1984.

Furlow, "Wiring the San Juan Central," <u>Model Railroader</u>, pp. 66, 68-70, March 1984.

Brown, "Momentary Contact Sound Cam," <u>Gazette</u>, pp. 48-49, March 1984.

Gutierrez, "The CTC-16e: The Next Generation of Command Control," Model Railroader, pp. 85-90, April 1984.

Gutierrez, "Building the CTC-16e: 2," Model Railroader, pp. 84-91, May 1984.

Gutierrez, "Building the CTC-16e: 3," Model Railroader, pp. 68-72, June 1984.

Gutierrez, "Building the CTC-16e: 4," Model Railroader, pp. 66-71, July 1984.

Gutierrez, "Building the CTC-16e: Conclusion," <u>Model</u>
Railroader, pp. 86-93, August 1984.

Burgess, "Layout Sound Effects: The Fourth Dimension," <u>Model</u>
Railroader, pp. 88-93, October 1984.

Hansen, "Symposium on Electronics," <u>Model Railroader</u>, pp. 128-130, November 1984.

Dorsam, "Command control...Is the Wiring Really All that Easy?," NMRA, December 1984.

Chubb, "The C/MRI: A Computer/Model Railroad Interface," Model Railroader, pp. 92-97, February 1985.

Hansen, "Symposium on Electronics," <u>Model Railroader</u>, pp. 100-101, May 1985.

Keller Engineering, "Command Control Throttle and Sound System," Model Railroader, pp. 36-37, August 1985.

Hansen, "Symposium on Electronics," <u>Model Railroader</u>, pp. 82-83, August 1985.

Hediger, "Mr. Workshop," <u>Model Railroader</u>, pp. 110, 119-120, 122, September 1985.

Markovich, "Making Sound Cams Quickly," <u>Gazette</u>, pp. 22-23, September/October 1985.

Trammell, "PMP-112: Introduction," <u>Railroad Model Craftsman</u>, pp. 73-77, March 1986.

Trammell, "PMP-112: Receivers," <u>Railroad Model Craftsman</u>, pp. 70-77, April 1986.

Trammell, "PMP-112: Steam Sound," <u>Railroad Model Craftsman</u>, pp. 77-84, June 1986.

Wright, "Carrier/Command-Control For N Scale: Dummy Diesels to House the Receivers," Model Railroading, pp. 23-25, July 1986.

Dorsam, "More Thoughts on Command Control," Gazette, 1987.

Hansen, "Symposium on Electronics," <u>Model Railroader</u>, pp. 111-115, February 1987.

Hansen, "Symposium on Electronics," <u>Model Railroader</u>, pp. 88-89, August 1987.

Kamm, "CTC80 Command Control," <u>Model Railroader</u>, pp. 84-87, March 1988.

Trammell, "Phase II PMP-112 Receiver," <u>Railroad Model</u>
<u>Craftsman</u>, pp. 86-88, April 1988.

Trammell, "Phase II PMP-112 Sound System," Railroad Model
Craftsman, pp. 74-75, May 1988.

Trammell, "PMP-112 Phase II Power Module," <u>Railroad Model</u>
<u>Craftsman</u>, pp. 65-70, June 1988.

Hansen, "Symposium on Electronics," <u>Model Railroader</u>, pp. 94-96, May 1988.

Trammell, "PMP-112 Diesel Sound Module," <u>Railroad Model</u>
<u>Craftsman</u>, pp. 65-69, August 1988.

Robson, "A Sound Idea," <u>Railroad Model Craftsman</u>, pp. 94-96, December 1988.

Atkinson, "Symposium on Electronics," <u>Model Railroader</u>, February 1989.

Gutierrez, "Build an Authentic Diesel Horn for Your Railroad,"

Model Railroader, pp. 106-115, February 1989.

Burgess, "Locomotive Whistles on the Yosemite Valley RR," Model Railroader, pp. 68-69, July 1989.

Thompson, "Wireless Walkaround Control for the Cat Mountain & Santa Fe," Model Railroader, pp. 67-71, Aug 1989.

Märklin, Inc., "2-Rail Digital DC for N Gauge, HO Gauge and #1 Gauge, "The Digital Sig Volume II Issues 1-6 1990, 1990.

Starr Enterprises, Inc., "Sound Center," <u>Model Railroader</u>, pp. 66, 68, January 1990.

Joiner et al., "Wireless Cab Control for the Great Southern,"

Model Railroader, pp. 92-95, January 1990.

Atkinson, "Symposium on Electronics," <u>Model Railroader</u>, May 1990.

Dorsam, "Some Thoughts on Wiring Your Model Railroad," <u>NMRA</u>
<u>Bulletin</u>, p. 13, October 1990.

Gutierrez, "The SDX-1, A Diesel Sound System You Can Build -Part 1," Model Railroader, pp. 88-93, February 1991.

Gutierrez, "The SDX-1, A Diesel Sound System You Can Build - Part 2," Model Railroader, pp. 126-130, March 1991.

Gutierrez, "The SDX-1: A Diesel Sound System You Can Build - Part 3," Model Railroader, pp. 102-105, April 1991.

Gutierrez, "The SDX-1: A Diesel Sound System You Can Build - Part 4," Model Railroader, pp. 111-114, May 1991.

Gutierrez, "The SDX-1: A Diesel Sound System You Can Build - Part 5," Model Railroader, pp. 92-96, June 1991.

Roberts, "You've Never Heart It So Good: Making Digital Recordings For Your Layout," <u>Railroad Model Craftsman</u>, pp. 89-92, October 1991.

Hediger, "Sound and Command Control System," <u>Model Railroader</u>, pp. 62-64, October 1991.

Roberts, "You've Never Heard It So Good: Part II: Two-Part Playback," Railroad Model Craftsman, pp. 94-96, November 1991.

Ames, "Proposed NMRA Electrical Standard for Command Control: Status Report," The Columbus Clinics, pp. 131-139, 1992.

Roberts, "You've Never Heard It So Good: Part III: Additional Features and Applications," Railroad Model Craftsman, pp. 89-93, January 1992.

Kendall, "Electronics - Background Sound," N-Scale, pp. 58-60,
January/February 1992.

Roberts, "You've Never Heard It So Good - Part IV: Multiple Chips," Railroad Model Craftsman, pp. 94-95, February 1992.

Atkinson, "Symposium on Electronics," <u>Model Railroader</u>, p. 108, February 1992.

Chaudiere, More Punch From the ISD 1016," Railroad Model Craftsman, p. 81, April 1992.

Wood, "Radio Remote Control for PFM Sound Systems," <u>Model</u>
Railroader, pp. 77, 79, 81, December 1992.

Ames, "Command Control: The Next Generation," The Clinics at Valley Forge, pp. 1-10, 1993.

Thompson, "The ABC's of Command Control," <u>Model Railroader</u>, pp. 104-107, June 1993.

"What's New . . . From the NMRA," <u>Railroad Journal</u>, p. 54, July 1993.

J.B., "Sound and Power," CTT, p. 34, July 1993.

Thompson, "Command Control Roundup," <u>Model Railroader</u>, pp. 88-93, July 1993.

Ames et al., "Should We Have a Standard For Command Control?," NMRA Bulletin, pp. 29-32, August 1993.

Dorsam, "More Thoughts on Command Control," <u>NMRA Bulletin</u>, p. 29, September 1993.

Ames, et al., "New Standards Proposed for Command Control,"

<u>Model Railroader</u>, pp. 104-106, October 1993.

Ames, "Overview of NMRA Digital Command Control Standards,"

NMRA Bulletin, pp. 12-17, October 1993.

Sperando, "Steam Whistle," <u>Model Railroader</u>, p. 42, October 1993.

Sperando, "Standards For Command Control?," <u>Model Railroader</u>, p. 65, October 1993.

Garlett, "Command Control: The Debate and The Future," Railroad Model Craftsman, pp. 134-35, 137, November 1993.

"Diesel Sound System and Throttle," <u>Model Railroader</u>, pp. 51-52, 59, November 1993.

"Steam Whistle," Model Railroader, pp. 38-39, 1994.

"Diesel Horn," Model Railroader, pp. 46-47, 1994.

Zana, et al., "Digital Command Control: The Wave of the Future," Portland, pp. 219-229, 1994.

West, "A Selection Guide for Digital Command Control,"

Portland, pp. 246-254, 1994.

"Infrared Control System Combines Sound, Throttle, and Turnout Functions," <u>Model Railroader</u>, pp. 48-51, February 1994.

Ames et al., "Standards for Digital Command Control," NMRA Bulletin, pp. 24-30, February 1994.

Loizeaux, "Digital Command Control Standards: A Non-Technical Discussion," NMRA Bulletin, pp. 40-42, April 1994.

"Entry-Level Command Control System," <u>Model Railroader</u>, pp. 40-41, June 1994.

Palmiter, "Your Top Tips," <u>Railmodel Journal</u>, p. 21, September 1994.

Puckett, "Digitrax 'Challenger' - Entry-Level Command Control Made in America," <u>Model Railroader</u>, pp. 11-13, September 1994.

Puckett, "What is DCC and What Can It Do For Me?," Model Railroading, p. 64, September 1994.

"Steam and Diesel Sound Generator," <u>Model Railroader</u>, p. 40, November 1994.

Hediger, "Digital Plus Command Control Conforms to NMRA Standard," Model Railroader, p. 32-33, November 1994.

Thompson, "Workshop," Model Railroader, p. 30, December 1994.

"Smart Controller for Command Control," <u>Model Railroader</u>, p. 64, January 1995.

Puckett, "Digitrax's DT200 - Hand-held Computer Throttle,"

Model Railroading, p. 16-17, January 1995.

Wilson, "Power Pack With Sound and Constant Lighting Units,"
Model Railroader, pp. 59-60, January 1995.

"QSI Sound Bloxx," <u>Model Railroader</u>, pp. 43-44, February 1995.
"N Scale Command Control," <u>Model Railroader</u>, February 1995.

Puthoff, "Electronics CTC Command Control," <u>N-Scale</u>, pp. 40-42, March/April 1995.

Kendall, "Electronics - Diesel Train Horn," N-Scale, pp. 51-55, March/April 1995.

Atkinson, "Using Sound Chips On Your Layout," <u>Model</u>
Railroader, pp. 118-119, May 1995.

"Diesel Sound System," Model Railroader, p. 39, June 1995.

Watson, "Digitrax Decoder Installation," <u>N-Scale</u>, pp. 58-59, July/August 1995.

"SystemOne Command Control System," <u>Model Railroader</u>, pp. 42-44, August 1995.

"Steam Sound System," Model Railroader, p. 39, September 1995.

Puckett, "Model Railroading Takes a Closer Look at Digital Command Control," Model Railroading, pp. 18-22, September 1995.

Puckett, "EasyRamp - The Easy Way to Program DCC Decoders," September 1995.

Wilson, "Digitrax Big Boy Digital Command Control System," N-Scale, p. 44-45, September/October 1995.

Puckett, "Model Railroading Takes a Closer Look at Digital Command Control - Part II," Model Railroading, pp. 38-39, October 1995.

Bonham, "Computers and the Modeler, 5.0," <u>Railroad Model</u>
<u>Craftsman</u>, pp. 72-74, October 1995.

Sperandeo, "Command Control Today," <u>Model Railroader</u>, p. 69, October 1995.

Puckett, "Model Railroading Takes a Closer Look at Digital Command Control - Part III," <u>Model Railroading</u>, pp. 33-35, November 1995.

Loizeaux, "A DCC Discussion," <u>Railroad Model Craftsman</u>, pp. 82-85, November 1995.

Puckett, "WinLok 2.0 Brings New Functionality to DCC," Model Railroading, p. 57, December 1995.

Puckett, "Planning a System to Meet Your Needs," <u>Model</u>
Railroading, pp. 27-29, December 1995.

Puckett, "Software Updates and DCC on the Web," <u>Model</u>
Railroading, p. 46, January 1996.

"Steam and Diesel Sound System," <u>Model Railroading</u>, pp. 50-54, January 1996.

Puckett, "Visit the Cumbres and Toltec on CD," <u>Model</u>
Railroading, pp. 52-53, February 1996.

Bacus, Jr., "Observation Car," <u>NMRA Bulletin</u>, p. 11, February 1996.

Gruber, "The Reading Lines Goes DCC," <u>NMRA Bulletin</u>, pp. 19-21, February 1996.

Puckett, "Model Railroading Takes a Closer Look at Digital Command Control - Part V," Model Railroading, pp. 34-37, March 1996.

Schaumburg, "DCC?," <u>Railroad Model Craftsman</u>, pp. 110-111, April 1996.

Puckett, "Programming DCC Decoders with Digitrax's PR1," Model Railroading, p. 62, April 1996.

Verner, "A Carload of Sound," <u>Model Railroader</u>, pp. 88-89, June 1996.

Barry, "Sound for Layouts," <u>Mainline Modeler</u>, pp. 58-60, July 1996.

Puckett, "Model Railroading's Continuing Look at Digital Command Control - Part VI," Model Railroading, pp. 10-12, July 1996.

"What you Need to Know About DCC," <u>Railroad Model Craftsman</u>, pp. 80-81, July 1996.

Johnston, "In Command of Control," <u>Railroad Model Craftsman</u>, pp. 82-84, July 1996.

Loizeaux, "What You Need to Know About DCC," Railroad Model Craftsman, pp. 76-80, July 1996.

Martin, "SystemOne DCC System: All Scales," <u>Model Craftsman</u>, pp. 89-93, July 1996.

"Sound for N Scale Layout," <u>N-Scale</u>, pp. 43-45, July/August 1996.

Freitag, "Dynatrol Infrared Wireless Throttle," <u>Model</u>
Railroader, September 1996.

Ames, "How Compatible DCC?," <u>Model Railroader</u>, pp. 91-95, October 1996.

Sebastian-Coleman, "Steam Sound System for Digital Command Control and Conventional DC," <u>Model Railroader</u>, pp. 41-43, October 1996.

Cabrall, "Installing DCC Decoders in Small Steam Locomotives,"
Railroad Model Craftsman, pp. 71-72, October 1996.

Mansfield, "DCC and the JWRR," Model Railroading, pp. 49-50, October 1996.

Ames, "How Compatible Is DCC?," <u>Model Railroader</u>, pp. 92-93, October 1996.

Puckett, "Model Railroading Takes a Look at What's New in DCC for 1997," Model Railroading, pp. 38-43, November 1996.

Goslett, "Digital Command Control System: All scales,"

Railroad Model Craftsman, pp. 95, 104, 106, 108, January 1997.

Sperandeo, "Good News in Chicago," <u>Model Railroader</u>, p. 79, January 1997.

Wilson, "MRC's New Command 2000 Digital Command Control Is An Easy-to-Use System," Model Railroader, pp. 36-37, February 1997.

Gutierrez et al., "The EasyDCC Command Control System: 1,"

Model Railroader, pp. 86-93, February 1997.

Edwards, "Digitrax Chief Digital Command Control System: All scales," Railroad Model Craftsman, pp. 98, 100, 102-103, February 1997.

Sperandeo, "Digital Command Control You Can Build," <u>Model</u>
Railroader, p. 69, February 1997.

Gutierrez et al., "The EasyDCC Command Control System: 2," Model Railroader, pp. 84-89, March 1997.

Gutierrez et al., "The EasyDCC Command Control System: 3," Model Railroader, pp. 80-85, April 1997.

Puckett, "Model Railroading Takes a Look at DCC Decoders,"

Model Railroading, pp. 38, 41, May 1997.

Gutierrez, "The EasyDCC Command Control System: 4," Model Railroader, pp. 94-102, May 1997.

Puckett, "WinLok 1.5 Brings Your Computer Into the Train Room," Model Railroading, p. 50, March 1995.

J.D.H., "Diesel Sound System," <u>Model Railroader</u>, pp. 39-40, June 1995.

"Ballast Lamps and Power Sections for DCC," <u>Railroad Model</u>
<u>Craftsman</u>, p. 78, September 1995.

Providenza, "Ballast Lamps and Power Sections for Digital Command Control," <u>Railroad Model Craftsman</u>, p. 77, September 1995.

Trammell, "PMP-112: Steam Sound," <u>Railroad Model Companion</u>, pp. 77-84, June 1986.

Hansen, "Symposium on Electronics," <u>Model Railroader</u>, pp. 80-81, May 1983.

Hansen, "Symposium on Electronics," <u>Model Railroader</u>, pp. 116-117, January 1983.

Hansen, "Symposium on Electronics," <u>Model Railroader</u>, pp. 116-119, November 1983.

Puckett, "Model Railroading Takes a Look at DCC Decoder Installations," Model Railroading, pp. 34-39, August 1997.

Gutierrez et al., "The EasyDCC Command Control System: 6,"

Model Railroader, pp. 94-96, July 1997.

Hediger, "Walkaround Throttle for MRC Digital Command Control System," Model Railroader, pp. 37-38, June 1997.

Gutierrez, "The EasyDCC Command Control System: 5," Model Railroader, pp. 82-89, June 1997.

Puckett, "Model Railroading Takes a Look at DCC Decoders,"

Model Railroading, pp. 38-43, May 1997.

Sebastian-Coleman, "DCC-Compatible Onboard Sound System,"

Model Railroader, pp. 39-40, July 1997.

Sebastian-Coleman, "Transformer Case and Power Supply," <u>Model</u>
Railroader, pp. 43-44, February 1998.

Kellner, "Wiring the Wisconsin Central," <u>Model Railroader</u>, pp. 112-115, December 1997.

Thompson, "Running Trains with Computers," <u>Model Railroader</u>, pp. 108-109, October 1997.

"Digital Command Control Decoder with Steam Sound," <u>Model</u>
Railroader, p. 36, September 1997.

Edwards, "DCC Lighting for a Dash-9," <u>Railroad Model</u> <u>Craftsman</u>, pp. 48-51, July 1997.

Mansfield, "Installing Digital Command Control on a Home Layout," Model Railroading, pp. 66-69, November 1997.

Puckett, "Model Railroading Takes a Look at DCC Decoder Installations," Model Railroading, pp. 31-34, November 1997.

"Load-Compensating DCC Decoders," <u>Model Railroader</u>, pp. 39-40, September 1997

#### II. REMARKS

Copies of the above references together with form PTO-1449 are submitted herewith.

In view of the foregoing, Applicants submit that all claims of the application are patentably distinguishable over the teachings of the above references, taken singly or in combination. Early consideration of the application is, therefore, requested.

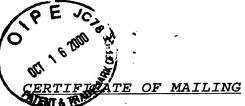
Respectfully submitted,

(Reg No. 35,018)

Brian M. Mattson

Patents+TMS

A Professional Corporation 1914 North Milwaukee Ave. Chicago, IL 60647 (773) 772-6009 ATTORNEY FOR APPLICANTS



I hereby certify that this INFORMATION DISCLOSURE STATEMENT, PTO-1449 AND REFERENCES are being deposited with the United States Postal Service as First Class Mail in an envelope addressed to the Assistant Commissioner for Patents, Washington, D. C. 20231 on October 4, 2000.

Brian M. Mattson (Red) No.

TC 2700 MAIL ROOM

35,018)

S:Patents\IDS\RRE-R-99-001 IDS